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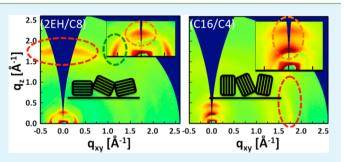
Dependence of Crystallite Formation and Preferential Backbone Orientations on the Side Chain Pattern in PBDTTPD Polymers

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Supporting Information

ABSTRACT: Alkyl substituents appended to the π -conjugated main chain account for the solution-processability and film-forming properties of most π -conjugated polymers for organic electronic device applications, including field-effect transistors (FETs) and bulk-heterojunction (BHJ) solar cells. Beyond film-forming properties, recent work has emphasized the determining role that side-chain substituents play on polymer self-assembly and thin-film nanostructural order, and, in turn, on device performance. However, the factors that determine polymer crystallite orientation in thin-films, implying preferential backbone orientation relative to the



device substrate, are a matter of some debate, and these structural changes remain difficult to anticipate. In this report, we show how systematic changes in the side-chain pattern of poly(benzo[1,2-b:4,5-b']dithiophene–*alt*–thieno[3,4-*c*]pyrrole-4,6-dione) (PBDTTPD) polymers can (i) influence the propensity of the polymer to order in the π -stacking direction, and (ii) direct the preferential orientation of the polymer crystallites in thin films (e.g., "face-on" vs "edge-on"). Oriented crystallites, specifically crystallites that are well-ordered in the π -stacking direction, are believed to be a key contributor to improved thin-film device performance in both FETs and BHJ solar cells.

KEYWORDS: side chain, benzo[1,2-b:4,5-b']dithiophene, thieno[3,4-c]pyrrole-4,6-dione, π -conjugated polymers, polymer crystallite, backbone orientation

number of recent studies have emphasized the presence of A number of recent studies have emphasized the presence of oriented crystallites in polymer thin-film devices, including field-effect transistors (FETs)¹⁻⁴ and bulk-heterojunction (BHJ) solar cells,⁵⁻⁹ and suggested interplays between device performance, polymer self-assembly and thin-film nanostructural order. These studies have largely relied on grazing incidence X-ray scattering (GIXS), which has also been used to show how extrinsic parameters such as the material processing con-ditions,^{10–13} and the polymer–substrate interface,^{14,15} impact the orientation of polymer crystallites relative to the device substrate. In parallel, several π -conjugated systems have been found to yield distinct GIXS patterns, and ordering footprints in thin films,^{2,3,16} independently of processing conditions and substrate effects. However, the material design parameters that direct polymer crystallite orientation in thin films, implying preferential backbone orientation relative to the device substrate, remain a matter of some debate.⁶ Among the possible directing factors, the molecular structure of the motifs that compose the π conjugated main chain,¹⁷ and the density of side-chain substituents appended to the backbone,^{3,7} may play a role. Several recent review reports discuss the effects of main chain substitutions in a broader context.¹⁸⁻²¹

Poly(benzo[1,2-b:4,5-b']dithiophene-alt-thieno[3,4-c]-pyrrole-4,6-dione) (PBDTTPD, Figure 1) is one of the most

efficient polymer donors in BHJ solar cells with fullerene acceptors, such as phenyl-C61-butyric acid methyl ester or its C71 analog (PCBM).^{5,6,9,22-24} Conventional BHJ devices made from PBDTTPD and PCBM yield high open-circuit voltages >0.9 V,⁵ high fill-factors of ca. 70%, and power conversion efficiencies >8%.⁶ In this report, we show that incremental changes imparted to the side-chain pattern of PBDTTPD polymers direct the propensity of the π -conjugated system to crystallize in the π -stacking direction, and mediate the preferential orientation of ordered crystallites in thin films (e.g., "face-on" vs "edge-on"; see Figure S1 in the Supporting Information). Although the material design principles at the origin of preferential backbone orientation in thin films, independent of processing conditions and substrate effects, remain under debate, our results shed light on the critical role and concurrent effects of the combination of side chains appended to the polymer main chain (Figure 1, R_1 and R_2) in the development of oriented crystallites. It is worth noting that the presence of oriented crystallites in polymer $FETs^{25-28}$ and across the active

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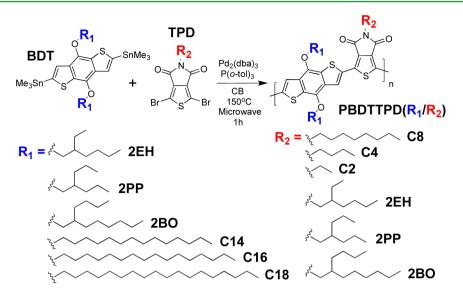


Figure 1. Synthesis of PBDTTPD(R_1/R_2) analogs bearing alkyl substituents with various lengths and side groups. Synthetic details and molecular characterizations can be found in the Supporting Information.

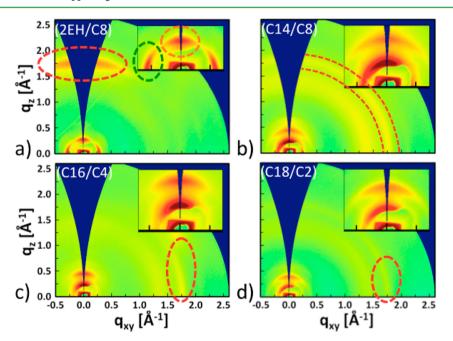


Figure 2. GIXS patterns of (a) PBDTTPD(2EH/C8), (b) (C14/C8), (c) (C16/C4), and (d) (C18/C2) analogs (neat polymers) in thin-films cast from chlorobenzene (CB, 95%) and 1-chloronaphthalene (CN, 5%) (v/v). The use of CN with PBDTTPD is consistent with earlier reports^{6,9} that have emphasized its role on polymer self-assembly. The scattering intensity is plotted on a logarithmic scale and normalized in each GIXS pattern. The GIXS data pertaining to the films cast without CN is shown in the Supporting Information (Figure S2a–d).

layer of BHJ solar cells^{1,7,17,29,30} is often thought to contribute to improved carrier transport and device efficiency. Along these lines, the ability to mediate $\pi - \pi$ aggregation and polymer crystallite orientation in thin films through material design can be expected to help improve material performance in both FETs and BHJ solar cells.

Throughout this study, we define polymer "crystallites" as bundles of polymer chains ordered in more than one direction of space, and for which local order is maintained over at least several repeat units.

In PBDTTPD, swapping branched 2-ethylhexyl (2EH) BDT substituents for linear tetradecyl(C14) side chains (Figure 1) results in distinct GIXS patterns. As illustrated in Figure 2a, b, PBDTTPD(2EH/C8) shows a partial arc at $q \approx 1.76$ Å⁻¹ that is particularly pronounced near the out-of-plane direction ($q_{xy} \approx 0$), whereas PBDTTPD(C14/C8) shows a nearly isotropic ring of scattering intensity at $q \approx 1.77$ Å⁻¹ (see partial pole figures in Figure S3a in the Supporting Information). These partial arcs are characteristic of π - π stacking, and correspond to spacings of ~3.6 Å and ~3.5 Å, respectively. In thin films of PBDTTPD-(2EH/C8), the pronounced scattering intensity near the out-of-plane direction indicates that crystallites adopt a preferential "face-on" orientation relative to the substrate (π - π stacking "out-of-plane") (see Figure S5 in the Supporting Information), whereas the more isotropic scattering pattern of PBDTTPD-(C14/C8) indicates a distribution of isotropically oriented crystallites. Further, PBDTTPD(C14/C8) shows a strong lamellar reflection at $q \approx 0.22$ Å⁻¹, as well as second- and

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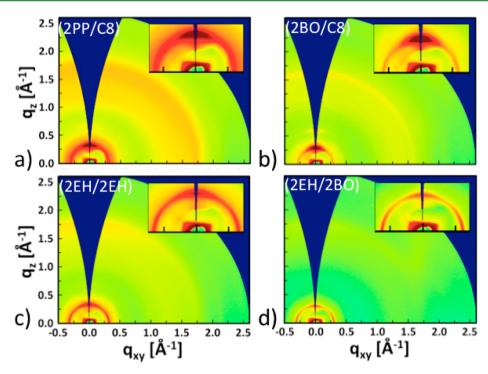


Figure 3. GIXS patterns of (a) PBDTTPD(2PP/C8), (b) (2BO/C8), (c) (2EH/2EH), and (d) (2EH/2BO) analogs (neat polymers) in thin-films cast from CB (95%) and CN (5%) (v/v). The scattering intensity is plotted on a logarithmic scale and normalized in each GIXS pattern. The GIXS data pertaining to the films cast without CN is shown in the Supporting Information (Figure S2e, h).

third-order lamellar reflections in the out-of-plane direction $(q_{xy} \approx 0)$, a pattern that is consistent with the coexistence of crystallites with "edge-on" orientations relative to the substrate $(\pi - \pi \text{ stacking "in-plane"})$.

Given the apparent directing effect of BDT substituents on the development of oriented crystallites in thin films of PBDTTPD, and the perspective to promote the formation of crystallites that adopt a preferential "edge-on" orientation, two other polymer analogs with longer linear alkyl BDT substituents were synthesized: one with hexadecyl(C16) and butyl(C4)-substituents, PBDTTPD(C16/C4), and the other with octadecyl(C18)and ethyl(C2) substituents, PBDTTPD(C18/C2) (see Figure 1). Synthetic details and molecular characterizations can be found in the Supporting Information. The GIXS patterns of PBDTTPD(C16/C4) and PBDTTPD(C18/C2), as neat polymer films, are shown in panels c and d in Figure 2, respectively. As expected for systems adopting a preferential "edge-on" orientation relative to the substrate, both patterns show partial arcs at $q \approx 1.77$ Å⁻¹ with the greatest peak intensity centered along the in-plane direction (see partial pole figures in Figure S3b in the Supporting Information). Here, the absence of appreciable peak intensity at $q \approx 1.77$ Å⁻¹ in the in-plane direction and the presence of higher-order reflections in the outof-plane direction are also characteristic of the net predominance of crystallites with well-defined "edge-on" orientations (see Figure S5 in the Supporting Information). Predominantly "edgeon" polymer orientations may promote higher carrier mobilities in thin-film FETs.^{25–28}

Considering π -conjugated systems for thin-film device applications, it is important to build a better understanding of the material design aspects that govern the propensity of the polymers to form crystallites, specifically crystallites that are well ordered in the π -stacking direction. On this basis, we examined the effect of branching groups of different lengths appended to the main chain of (i) BDT and (ii) TPD substituents. First, two polymer analogs with branched 2-propylpentyl(2PP) and 2butyloctyl(2BO) BDT substituents, and linear octyl(C8)substituted TPD motifs – PBDTTPD(2PP/C8) and PBDTTPD(2BO/C8) – (Figure 1) were synthesized following the same experimental protocol (see the Supporting Information); the GIXS patterns of the neat polymer films are shown in panels a and b in Figure 3, respectively.

Compared to the reference polymer PBDTTPD(2EH/C8) (Figure 2a), the partial arc at $q \approx 1.67$ Å⁻¹ characteristic of $\pi - \pi$ stacking in the pattern of PBDTTPD(2PP/C8) is less pronounced in the out-of-plane direction and near isotropic, indicating that the crystallites adopt mixed orientations. In parallel, the ring of scattering intensity at $q \approx 0.31$ Å⁻¹ characteristic of the lamellar spacing is largely isotropic consistent with the loss of preferential texture. Compared to PBDTTPD(2PP/C8) (Figure 3a), the π - π stacking reflection of the higher-branched derivative PBDTTPD(2BO/C8) (Figure 3b) is somewhat suppressed along the in-plane direction (see partial pole figures in Figure S3c in the Supporting Information), suggesting a weaker propensity to order in the π -stacking direction. Meanwhile, the rather pronounced lamellar reflection at $q \approx 0.28$ Å⁻¹ centered along the out-of-plane direction (see partial pole figure in Figure S4a in the Supporting Information) suggests that ordered polymer backbones in PBDTTPD(2BO/ C8) are likely to adopt "edge-on" orientations. These results emphasize the requirement of a short branch on BDT substituents in promoting the development of "face-on"-oriented crystallites.

Second, two other derivatives with 2EH-substituted BDT motifs and varying branched TPD substituents. PBDTTPD-(2EH/2EH) and PBDTTPD(2EH/2BO) (Figure 1), were prepared (see the Supporting Information); the GIXS patterns of the neat polymer films are shown in panels c and d in Figure 3,

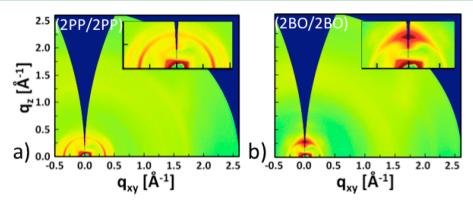


Figure 4. GIXS patterns of (a) PBDTTPD(2PP/2PP) and (b) (2BO/2BO) analogs (neat polymers) in thin-films cast from CB (95%) and CN (5%) (v/v). The scattering intensity is plotted on a logarithmic scale and normalized in each GIXS pattern. The GIXS data pertaining to the films cast without CN is shown in the Supporting Information (Figure S2i, j).

respectively. Compared to PBDTTPD(2EH/C8) (Figure 2a), neither pattern shows a net $\pi - \pi$ stacking reflection in the out-ofplane direction (see partial pole figures in Figure S3d in the Supporting Information). In addition, considering both the overall lack of scattering intensity at $q \approx 0.31$ Å⁻¹ (see partial pole figures in Figure S4b in the Supporting Information), and the absence of higher-order lamellar reflections (Figure 3d), polymer backbones in PBDTTPD(2EH/2BO) show significantly less long-range order. These observations point to the detrimental role of branched TPD substituents in the development of polymer crystallites, and confirm the importance of linear TPD side chains in promoting "face-on"-oriented crystallites.

Although the solution-processability of π -conjugated polymers for thin-film device applications has commonly been induced by branched alkyl substituents appended on several motifs along the π -conjugated main chain,^{31–33} our examination of the scattering pattern of PBDTTPD(2EH/2EH) indicates that thin films of this derivative are not as textured as those cast from its counterpart PBDTTPD(2EH/C8). To confirm the direct correlation between the size of the branching groups and the extent of nanostructural order in thin films, two polymer analogs with bulkier branched BDT and TPD substituents, PBDTTPD-(2PP/2PP) and PBDTTPD(2BO/2BO) (Figure 1), were prepared (see the Supporting Information); the GIXS patterns of the neat polymer films are shown in Figure 4a and Figure 4b, respectively. Here, the gradual reduction in scattering intensity of the first-order in-plane lamellar reflection seen on going from PBDTTPD(2EH/2EH) (Figure 3c, $q \approx 0.33$ Å⁻¹) to PBDTTPD(2PP/2PP) (Figure 4a, $q \approx 0.35$ Å⁻¹), together with the absence of higher-order lamellar reflections, point to a lack of backbone correlations between polymer chains and is consistent with a deduction in long-range order. In turn, large branching groups appended on both BDT and TPD substituents can suppress interchain ordering, in addition to hindering polymer crystallization. However, the existence of a relatively pronounced first-order lamellar reflection centered along the out-of-plane direction in the pattern of PBDTTPD(2BO/2BO) (Figure 4b, $q \approx 0.30$ Å⁻¹) suggests that larger alkyl substituents may be able to promote interchain ordering, most likely via van der Waals interactions on short length scales. Last, although it is beyond the scope of this study, we note that the size and branching of the side-chain substituents appended to the π conjugated main chain impact both the $\pi - \pi$ and the lamellar spacings.

In summary, we have shown how systematic changes in the side-chain pattern of PBDTTPD can (i) influence the propensity

of the polymer to order in the π -stacking direction, and (ii) direct the preferential orientation of the polymer crystallites in thin films: from "face-on", to "isotropic", and to "edge-on". While preferential "edge-on" orientations may favor in-plane carrier transport in thin-film FETs,⁸ predominantly "face-on" polymer orientations have been suggested to promote BHJ solar cell efficiency.^{1,7,17,29,30} Our results further emphasize the need to select the proper combination of side chains when several types of substituents are appended along the polymer backbone. Although branched alkyl substituents have been widely used to induce the solution-processability of π -conjugated polymers, motifs bearing linear side chains may also prove critical to the development of structural order in other systems analogous to PBDTTPD.

ASSOCIATED CONTENT

S Supporting Information

Synthetic details, monomer and polymer characterizations, device fabrication protocols, additional GIXS patterns, and pole figures. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes

The authors declare no competing financial interest.

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